

Sr. No	Regulation	Title of Policy	Requirements
1.	9	Preservation of documents Policy	To be classified into two categories:- 1. documents whose preservation shall be permanent in nature 2. documents with preservation period of not less than eight years after completion of the relevant transactions
2.	16(1)(c)	Policy on determining “material subsidiary”	The listed entity shall formulate a policy for determining ‘material’ subsidiary
3.		Archival Policy	A listed entity is required to identify all the documents which need to be preserved under various regulations relating to securities laws and then develop a suitable archival policy
4.	17(9)(b)/ Section 134(3)(n)	Risk Management Policy	The board of directors shall be responsible for framing, implementing and monitoring the risk management plan for the listed entity
5.	17(5)	Code of Conduct	The board of directors shall lay down a code of conduct for all members of board of directors and senior management of the listed entity. • The code of conduct shall suitably incorporate the duties of independent directors as laid down in the Companies Act, 2013
6.	22/ Section 177(10)	Vigil Mechanism/ Whistle Blower Policy	The listed entity shall formulate a vigil mechanism for directors and employees to report genuine concerns
7.		Policy for determination of materiality of events	A listed entity is required to frame a policy for determination of materiality, duly approved by its board of directors, which shall be displayed on its website.
8.	23(1)	Materiality of related party transactions and on dealing with related party transactions	The listed entity shall formulate a policy on materiality of related party transactions and on dealing with related party transactions, including clear threshold limits duly approved by the board of directors and such policy shall be reviewed by the board of directors at least once every three years and updated accordingly
9.	23(3)	Criteria for granting omnibus approval for Related Party Transactions	The audit committee shall lay down the criteria for granting the omnibus approval in line with the policy on related party transactions of the listed entity and such approval shall be applicable in respect of transactions which are repetitive in nature;
10.	30	Policy on determination of materiality	The listed entity shall make disclosure of events specified in Para B of Part A of Schedule III, based on application of the guidelines for materiality, as specified in sub-regulation (4)
11.	43A	Dividend Distribution Policy	The top five hundred listed entities based on market capitalization (calculated as on

			March 31 of every financial year) shall formulate a dividend distribution policy which shall be disclosed in their annual reports and on their websites
12.	Part D of Schedule II	Board Diversity Policy	The Board shall devise a policy on diversity of board of directors
13.	Section 178 (3)	Nomination and Remuneration policy	the Nomination and Remuneration Committee shall formulate the criteria for determining qualifications, positive attributes and independence of a director and recommend to the Board a policy, relating to the remuneration for the directors, key managerial personnel and other employees
14.	Section 135(4)	Corporate Social Responsibility Policy	The Board of every company which is required to constitute a CSR Committee (as specified in sub-section (1) of section 135
15.	Regulation 17(5)	Code of Conduct for Board of Directors and Senior Management	(a) the board of directors shall lay down a code of conduct for all members of board of directors and senior management of the listed entity. (b) The code of conduct shall suitably incorporate the duties of independent directors as laid down in the Companies Act, 2013.
16.		Insider Trading Policy	A listed company has to also formulate certain Policies as per the requirements of SEBI (Prohibition of Insider Trading) Regulations, 2015
17.		Policy for prevention of sexual harassment at workplace	All companies are required to formulate policy for prevention of sexual harassment at workplace under the Sexual Harassment of Women at Workplace (Prevention, Prohibition and Redressal) Act, 2013. The policy should contain the procedures and guidelines to govern cases against sexual harassment in the company

Voluntary Policies: In addition to above, the companies may also formulate following policies:

- Code of business conduct & Ethics
- Ethics policy
- Information security policy
- Health and safety policy
- Gender diversity policy
- Environmental policy
- Policy on investor relations
- Quality policy
- Social accountability policy
- Communication policy
- Investment and cash policy
- Policy for ascertaining the 'Fit and Proper' status of directors
- Affirmative action policy
- Code of corporate disclosures.